



Case 1714

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

#5

on contents  
#5 is IOS  
Filed 11-9-00

In re application of:  
Randall S. Alberte

Appln. No. 09/405,269

Art Unit: 1714

Filed: ~~December 4, 1998~~ 09/23/99

Examiner: To be assigned

For: SAFE AND EFFECTIVE BIOFILM  
INHIBITORY COMPOUNDS AND  
HEALTH-RELATED USES THEREOF

Docket No.: PHA-004.01

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR 1.97(b)(3)**

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents Washington, D.C. 20231, on April 26, 2000.

4-26-00  
Date of Signature and Mailing

Jonathan Furtado  
Jonathan Furtado

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Submitted herewith on Form PTO-1449 is a listing of documents known to Applicants and/or their attorney in compliance with the requirements of 37 CFR 1.56. Copies of the documents are also being submitted.

Since the Information Disclosure Statement is being filed before the issuance of the first Office Action on the merits, no fee is required.

The Examiner is respectfully requested to initial the space adjacent to each document on

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the PTO-1449 form and return a copy of the PTO-1449 form to confirm that these documents have been considered by the Examiner and made of record in this application.

Although we believe that no fees are due at this time in connection with this submission, the Commissioner is authorized to credit any overpayment or charge any deficiencies to/from our **Deposit Account No. 06-1448**. Two originally-executed copies of this form are being submitted.

Should there be any questions after reviewing this paper, the Examiner is invited to contact the undersigned at (617) 832-1272.

Respectfully submitted,

FOLEY, HOAG & ELIOT LLP

A handwritten signature in black ink, appearing to read 'Beth E. Arnold', written over a horizontal line.

Beth E. Arnold  
Registration No. 35,503

4/26/00  
Date of Signature

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